

Constructing inverse diagrams in (internal models of) HoTT

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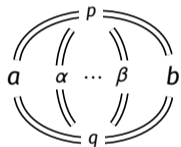
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Background

In plain HoTT, all types A are ∞ -groupoids.

- ▶ Objects are elements $a : A$
- ▶ $\text{hom}(x, y)$ for n -cells x and y are iterated identity types



1-cells $p, q : \text{hom}(a, b) \equiv (a =_A b)$,

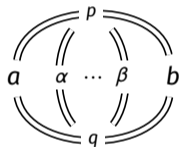
2-cells $\alpha, \beta : \text{hom}(p, q) \equiv (p =_{a=b} q)$,

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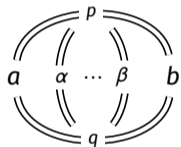
Question

How do we talk about $(\infty, 1)$ -categories in plain homotopy type theory?

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Question

How do we talk about $(\infty, 1)$ -categories in plain homotopy type theory

...in a way that exploits HoTT's inherent higher categorical structure?

Simplicial objects in type theory?

Some models of $(\infty, 1)$ -categories start with simplicial objects in some $C (= \text{Set}, \hat{\Delta}, \dots)$

\implies Look for

1. a category C of type theoretic data +
2. a construction *defined in HoTT* that can *externally* be seen to give simplicial objects in C .

Straightforward first try for (1): universe type \mathcal{U} is a 1-category

- ▶ Objects: closed \mathcal{U} -small types
- ▶ $\text{hom}(A, B) :=$ function type $A \rightarrow B$

Might call \mathcal{U} -valued Δ -presheaves *simplicial types*.

Can we achieve (2)? What remains is to define \mathcal{U} -valued Δ -presheaves in HoTT.

Semisimplicial types

First, simplify by forgetting degeneracy maps: ask for the data of \mathcal{U} -valued Δ_+ -presheaves, aka *semisimplicial types*.

Standard encoding of a Δ_+ -presheaf \mathcal{S} in \mathcal{U} :

$$A_0: \mathcal{U}, \quad A_1: A_0 \rightarrow A_0 \rightarrow \mathcal{U},$$

$$A_2: (x, y, z: A_0) \rightarrow A_1(x, y) \rightarrow A_1(x, z) \rightarrow A_1(y, z) \rightarrow \mathcal{U},$$

$$A_3: (x, y, z, w: A_0) \rightarrow$$

$$(e_{x,y}: A_1(x, y)) \rightarrow \cdots \rightarrow (e_{z,w}: A_1(z, w)) \rightarrow$$

$$(f_{x,y,z}: A_2(x, y, z, e_{x,y}, e_{x,z}, e_{y,z})) \rightarrow \cdots \rightarrow (f_{y,z,w}: A_2(y, \dots, e_{z,w})) \rightarrow \mathcal{U},$$

...

\mathcal{S}_n is the *total space* of A_n . Face maps are given by projecting out subtuples.

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Standard encoding of a Δ_+ -presheaf \mathcal{S} in \mathcal{U} :

$$A_0: \mathcal{U}, \quad \mathcal{S}_0 = A_0, \quad A_1: A_0 \rightarrow A_0 \rightarrow \mathcal{U}, \quad \mathcal{S}_1 = (x, y: A_0) \times A_1(x, y)$$

$$A_2: (x, y, z: A_0) \rightarrow A_1(x, y) \rightarrow A_1(x, z) \rightarrow A_1(y, z) \rightarrow \mathcal{U},$$

$$\mathcal{S}_2 = (x, y, z: A_0) \times (e_{x,y}: A_1(x, y)) \times (e_{x,z}: A_1(x, z)) \times (e_{y,z}: A_1(y, z)) \times A_2(x, y, z, e_{x,y}, e_{x,z}, e_{y,z}),$$

$$A_3: (x, y, z, w: A_0) \rightarrow$$

$$(e_{x,y}: A_1(x, y)) \rightarrow \cdots \rightarrow (e_{z,w}: A_1(z, w)) \rightarrow$$

$$(f_{x,y,z}: A_2(x, y, z, e_{x,y}, e_{x,z}, e_{y,z})) \rightarrow \cdots \rightarrow (f_{y,z,w}: A_2(y, \dots, e_{z,w})) \rightarrow \mathcal{U}, \quad \dots$$

\mathcal{S}_n is the *total space* of A_n . Face maps are given by projecting out subtuples.

Semisimplicial types

Some observations:

- ▶ The type of each A_n depends on A_0, \dots, A_{n-1} .
- ▶ For given *fixed* n , can define the type of tuples (A_0, \dots, A_n) , e.g. fixing $n = 2$,

`record SST2 : Type1 where`

`A0 : Type0`

`A1 : A0 → A0 → Type0`

`A2 : (x y z : A0) → A1 x y → A1 x z → A1 y z → Type0`

Question

Define *in HoTT* a function $SST: \mathbb{N} \rightarrow \mathcal{U}^+$ so that $SST(n)$ is the type of sequences (A_0, \dots, A_n) ?

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Open Question

“Constructing semisimplicial types”

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More generally, is the type of semisimplicial types definable in (plain) HoTT?

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More generally, is the type of semisimplicial types definable in (plain) HoTT?

Obstruction: **coherence problem** because equality in HoTT is structure, not property.

Semisimplicial types

Difficulty: haven't managed to internalize the matching objects of semisimplicial types.

- ▶ For nice enough C , can construct “Reedy fibrant” C -valued diagrams indexed by inverse \mathcal{I} .
- ▶ Construction by well founded induction, using certain limits—the *matching objects*—at each stage.
- ▶ Matching objects give a functor M from (a subcategory of) $\text{CoSv}(\mathcal{I})$ to C .
- ▶ Coherence problem arises from failure of M to be strict for $C = \mathcal{U}$.

Inverse diagrams in internal models of HoTT

Current work:

- ▶ Formulate models of type theory inside HoTT.
- ▶ Construct semisimplicial types and more general inverse diagrams in the model.

Control the height of the tower of coherence conditions by truncating the internal model.

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Control the height of the tower of coherence conditions by truncating the internal model.

Goals:

- ▶ Investigate, inside HoTT, minimal models in which coherence issues arise.
- ▶ Determine minimal sufficient conditions for the model—and by extension, type theory—to support semisimplicial types.
- ▶ Develop constructions to test the theory of higher models of type theory.
- ▶ Bonus 🎁—provide main part of proof relating open problems in HoTT.

Technical outline

- ▶ Internal model: Categories with families
- ▶ Diagrams:
 1. The index categories we use
 2. Matching objects
 3. Constructing diagrams in internal CwFs

Categories with families

Common categorical model of type theory:

Definition

A *category with families* is a category Con together with

- ▶ a choice of terminal object $\mathbb{1} \in Con$
- ▶ $Ty: Con^{op} \rightarrow Set$
- ▶ $Tm: (el(Ty))^{op} \rightarrow Set$
- ▶ For every $(\Gamma, A) \in el(Ty)$, a choice of terminal object in

$$el_{Con/\Gamma} [Tm(dom(\cdot), Ty(\cdot)(A))].$$

In particular, have context extension $\Gamma \triangleright A$ and substitution on types $A[\sigma]$ and terms $a[\sigma]$.

Countable locally finite inverse categories

For a category \mathcal{I} , define $j < i$ iff there's a morphism $j \leftarrow i$.

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An inverse category \mathcal{I} is *countable and locally finite* if

1. there is $\# : Ob(\mathcal{I}) \cong \mathbb{N}$ such that $\#j < \#i$ whenever $j < i$,
2. for $i, j \in Ob(\mathcal{I})$, $\text{hom}(i, j)$ is finite and totally ordered,
3. $\text{hom}(i, i) \cong \text{Fin}(1)$ for all i .

Write $\text{idx} : \text{hom}(i, j) \cong \text{Fin}(|\text{hom}(i, j)|)$ for the canonical order isomorphism.

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Write $\text{id}_x : \text{hom}(i, j) \cong \text{Fin}(|\text{hom}(i, j)|)$ for the canonical order isomorphism.

Examples: Δ_+ (also \square_+ , Ω_+)

We will refer to objects $i \in Ob(\mathcal{I})$ as natural numbers.

0 is always $<$ -minimal.

Matching objects

Let \mathcal{I} be inverse and $i \in \text{Ob}(\mathcal{I})$.

$\mathcal{I}_{<i}, \mathcal{I}_{\leq i}$ – full subcategories on objects $j < i$ and $j \leq i$, resp.

${}^i\!\!\!/ \mathcal{I}$ – full subcategory on $\text{Ob}({}^i\!\!\!/ \mathcal{I}) - \{id_i\}$.

The codomain forgetful functor U projects from ${}^i\!\!\!/ \mathcal{I}$ to $\mathcal{I}_{<i}$.

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$i//\mathcal{I}$ – full subcategory on $\text{Ob}(i//\mathcal{I}) = \{id_i\}$.

The codomain forgetful functor U projects from $i//\mathcal{I}$ to $\mathcal{I}_{<i}$.

Definition

Let $i \in \text{Ob}(\mathcal{I})$ and $\mathcal{D}: \mathcal{I}_{<i} \rightarrow \mathcal{C}$. The *matching object* M_i of \mathcal{D} is the limit

$$\lim_{i//\mathcal{I}} (\mathcal{D} \circ U).$$

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2. Assume $\mathcal{D}: \mathcal{I}_{<i} \rightarrow \mathcal{C}$. To extend \mathcal{D} to $\mathcal{I}_{\leq i}$, simply give $\mathcal{D}_i \in \mathcal{C}$ and an $f: \mathcal{D}_i \rightarrow M_i$.

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\implies Inductive construction of diagrams \mathcal{D}

(c.f. Makkai, Shulman)

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Remark

When $\mathcal{C} = \mathcal{U}^+$, giving (\mathcal{D}_i, f) is equivalent to giving a morphism $A_i: M_i \rightarrow \mathcal{U}$.

In the case $\mathcal{I} = \Delta_+^{op}$, get the components for semisimplicial types.

Refining M_i with linear cosieves

Definition

For $h < i \in \mathcal{I}$ and $t \leq |\text{hom}(i, h)|$, define the *linear cosieve of shape* (i, h, t) by

$$S_{i,h,t} := \left(\bigcup_{k < h} \text{hom}(i, k) \right) \cup \{f \in \text{hom}(i, h) \mid \text{idx}(f) < t\}.$$

Define ${}^{i,h,t}/\mathcal{I}$ to be the full subcategory of ${}^i/\mathcal{I}$ on $S_{i,h,t}$.

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Get the following filtration of ${}^i//\mathcal{I}$:

$$\begin{aligned} \emptyset = {}^{i,0,0}/\mathcal{I} \hookrightarrow {}^{i,0,1}/\mathcal{I} \hookrightarrow \dots \hookrightarrow {}^{i,h,|\text{hom}(i,h)|}/\mathcal{I} = {}^{i,h+1,0}/\mathcal{I} \hookrightarrow \dots \\ \hookrightarrow {}^{i,i-1,|\text{hom}(i,i-1)|}/\mathcal{I} = {}^i//\mathcal{I} \end{aligned}$$

Computing matching objects

From

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we will recursively compute a sequence of *partial matching objects*

$$\mathbb{1} = M_{i,0,0} \rightsquigarrow M_{i,0,1} \rightsquigarrow \dots \rightsquigarrow M_{i,h,|\mathrm{hom}(i,h)|} = M_{i,h+1,0} \rightsquigarrow \dots \\ \rightsquigarrow M_{i,i-1,|\mathrm{hom}(i,i-1)|} = M_i,$$

where $M_{i,h,t} \approx \lim_{i,h,t//\mathcal{I}} (\mathcal{D} \circ U)$.

Constructing diagrams in internal CwFs

From now on,

- ▶ Take $C = \text{Con}$ of an internal CwF equipped with Π -types and a universe type V
- ▶ Assume \mathcal{I} to be inverse, countable and locally finite (for intuition, take $\mathcal{I} = \Delta_+^{op}$)
- ▶ Work in HoTT (informally)

Note: Categorical terms will still have the HoTT equivalent of their usual meanings.

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Warning: Actual construction is a large mutually recursive definition with seven main components, formalized in Agda for precision.

This talk: main ideas for key components.

Constructing diagrams in internal CwFs

“Main” component $SCT: \mathbb{N} \rightarrow \mathit{Con}$.

$$SCT(0) := \mathbb{1}$$

$$SCT(1) := SCT(0) \triangleright V$$

$$SCT(n+1) := SCT(n) \triangleright \prod_{n,(n,n-1,|\mathit{hom}(n,n-1)|)}^* V$$

where

- ▶ $\prod_{n,(i,h,t)}^* : \mathit{Ty}(M_{n,(i,h,t)}) \rightarrow \mathit{Ty}(SCT(n))$ is a HoTT function.

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- ▶ $\prod_{n,(i,h,t)}^* : Ty(M_{n,(i,h,t)}) \rightarrow Ty(SCT(n))$ is a HoTT function.
- ▶ $M_{n,(i,h,t)} : Con$ is the context $SCT(n)$ extended with a telescope of components of the (i,h,t) -partial matching object.
e.g. for $\mathcal{I} = \Delta_+^{op}$,

$$M_{n,(1,0,2)} \equiv SCT(n) \triangleright A_0 \triangleright A_0$$

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- ▶ $\prod_{n,(i,h,t)}^*$ iteratedly applies the isomorphism $Ty(\Gamma \triangleright A) \cong Ty(\Gamma)$ given by Π -introduction.

e.g. for $\mathcal{I} = \Delta_+^{op}$,

$$\prod_{n,(1,0,2)}^* V \equiv \prod_{n,(1,0,1)}^* (\prod_{A_0} V) \equiv \prod_{A_0} \prod_{A_0} V$$

Constructing diagrams in internal CwFs

From earlier: want to recursively compute partial matching objects $M_{i,h,t}$

$$\mathbb{1} = M_{i,0,0} \rightsquigarrow M_{i,0,1} \rightsquigarrow \cdots \rightsquigarrow M_{i,h,|\text{hom}(i,h)|} = M_{i,h+1,0} \rightsquigarrow \cdots \\ \rightsquigarrow M_{i,i-1,|\text{hom}(i,i-1)|} = M_i$$

For technical reasons, also index over n .

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First two cases easy:

$$M_{n,(i,0,0)} \quad := \quad \text{SCT}(n),$$

$$M_{n,(i,h+1,0)} \quad := \quad M_{n,(i,h,|\text{hom}(i,h)|)}.$$

Computing matching objects

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Let S be a cosieve under i in \mathcal{I} , and $f \in \text{hom}(i, j)$.

The *restriction* $(S \cdot f)$ of S along f is the cosieve under j given by

$$S \cdot f := \{\alpha : j \rightarrow k \mid k \in \text{Ob}(\mathcal{I}), \alpha \circ f \in S\}.$$

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Definition

A countable and locally finite inverse \mathcal{I} is *well oriented* if for all $f \in \text{hom}(x, y)$ and $g, h \in \text{hom}(y, z)$,

$$g < h \implies g \circ f \leq h \circ f.$$

Examples: Δ_+ , \square_+ ($\Omega_+?$...)

Partial matching object as functor

Lemma

In a well oriented inverse category, the restriction of a linear cosieve $S_{i,h,t}$ along any $f \in \text{hom}(i, j)$ is a linear cosieve.

$$S_{i,h,t} \xrightarrow{f} S \cdot f = S_{j,h',t'}$$

Thus linear cosieves organize into a full subcategory $L\text{CoSv}(\mathcal{I})$ of $\text{CoSv}(\mathcal{I})$.

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Key Idea

View partial matching objects as the object part of a weak functorial action $L\text{CoSv}(\mathcal{I}) \rightarrow \text{Con}$, and simultaneously define the action on morphisms

$$\vec{M}_{n,(i,h,t)}(f) : \text{Sub}(M_{n,(i,h,t)}, M_{n,(i,h,t)} \cdot f)$$

(definition omitted in this talk)

Constructing diagrams in internal CwFs

Now we can define

$$M_{n,(i,h,t+1)} := M_{n,(i,h,t)} \triangleright A_h [\vec{M}_{n,(i,h,t)}(\bar{t})]$$

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- ▶ A_h is constructed earlier (since $h < i$) by another component of the mutually recursive definition
- ▶ A_h is an open term of type V in context $M_{n,(h,h-1,|\text{hom}(h,h-1)|)}$

Constructing diagrams in internal CwFs

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$$M_{n,(i,h,t+1)} \equiv M_{n,(i,h,t)} \triangleright A_h \left[\vec{M}_{n,(i,h,t)}(\bar{t}) \right]$$

where

- ▶ A_h is constructed earlier (since $h < i$) by another component of the mutually recursive definition
- ▶ A_h is an open term of type V in context $M_{n,(h,h-1,|\text{hom}(h,h-1)|)}$
- ▶ $\bar{t} \in \text{hom}(i, h)$ is the morphism for which $\text{idx}(\bar{t}) = t$

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Lemma

Let \mathcal{I} be well oriented, $S_{i,h,t}$ be a linear sieve, $f \in \text{hom}(i, j)$ and $j \leq h$. Then

$$S_{i,h,t} \cdot f = S_{j,j-1,|\text{hom}(j,j-1)|} \cdot$$

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Summary:

- ▶ Have $SCT: \mathbb{N} \rightarrow Con$.

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- ▶ Have $SCT: \mathbb{N} \rightarrow Con$.
When $\mathcal{I} = \Delta_+^{op}$, gives the components of semisimplicial types in the internal CwF.
- ▶ Goes via a large mutually recursive definition, with all components very closely intertwined.

Constructing diagrams in internal CwFs

Elided in this talk:

- ▶ Functoriality and coherence witnesses for M and \vec{M} .

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- ▶ Dealing with explicit weakenings of the internal CwF.

Coda—Relating open problems

Open Question

“Does HoTT interpret itself?”

Define a type *Syn* encoding the syntax of HoTT, plus interpretation function

$$\llbracket \cdot \rrbracket : \text{Syn} \rightarrow \mathcal{U}$$

sending syntax to their canonical interpretations (context expressions to nested Σ -types, type expressions to type families, etc.)?

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Equivalently, find a notion of “model of type theory” such that

1. The syntax is initial, and
2. The “standard model” given by a universe type is an instance?

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(conjectured by Shulman)

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Thanks!